



03/14/01

11040 U.S. PTO

09/05/00



03/14/01

**UTILITY PATENT
APPLICATION TRANSMITTAL**(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No.

010216

Total Pages

First Named Inventor or Application Identifier

Hideo FURAKAWA and Hiroyasu SATOU

Check Box, if applicable [] Duplicate

Express Mail Label No.

**APPLICATION ELEMENTS FOR:
DIESEL ENGINE WITH SUPERCHARGER****ADDRESS TO: Commissioner for Patents
BOX PATENT APPLICATIONS
Washington, D.C. 20231**

1. ☒ Fee Transmittal Form (Incorporated within this form)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification Total Pages [27]
3. ☒ Drawing(s) (35 USC 113) Total Sheets [8]
4. ☒ Oath or Declaration Total Pages [4]
 - a. ☒ Newly executed (original)
 - b. ☐ Copy from prior application (37 CFR 1.63(d)
(for continuation/divisional with Box 17 completed).
 - i. ☐ Deletion of Inventor(s)
Signed statement attached deleting inventor(s) named in prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by reference (useable if box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement Verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet and document(s)) to: **Komatsu Ltd., Tokyo, Japan**
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

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10. ☐ English translation Document (if applicable)
11. ☒ Information Disclosure Statement ☒ Copies of IDS Citations (PTO-1449 & 2 Ref.)
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☐ Small Entity Status is claimed.
15. ☒ Claim for Convention Priority ☐ Certified copy(ies) of Priority Document(s)
- a. Priority of Japanese application No. 2000-087618 filed on March 27, 2000 is claimed under 35 USC 119.
- b. Priority of Japanese application No. 2001-015367 filed on January 24, 2001 is claimed under 35 USC 119.
16. ☐ Other _____
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
- ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application no. ____/____

| FEE TRANSMITTAL | Number Filed | Number Extra | Rate | Basic . . Fee |
|---------------------------------------|--------------|--------------|-----------|------------------|
| The filing fee is calculated below | | | | \$710.00 |
| Total Claims | 13 - 20 | | x \$18.00 | |
| Independent Claims | 2 - 3 | | x \$80.00 | |
| Multiple Dependent Claims | | | \$270.00 | \$270.00 |
| Basic Filing Fee | | | | \$980.00 |
| Reduction by 1/2 for small entity | | | | |
| Fee for recording enclosed Assignment | | | \$40.00 | \$40.00 |
| TOTAL | | | | \$1020.00 |

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☒ [XX] A check in the amount of **\$1020.00** is enclosed to cover the filing fee including **\$710.00** for basic filing fee; **\$270.00** for multiple dependent claims and the assignment recordation fee of **\$40.00**.

☐ [] Please charge our Deposit Account No. **01-2340** in the total amount of _____ to cover the filing fee and the _____ assignment recordation fee. A duplicate of this sheet is attached.

☒ [XX] The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**. A duplicate of this sheet is attached.

18. CORRESPONDENCE ADDRESS:



23850

PATENT TRADEMARK OFFICE

SUBMITTED BY

Typed or Printed Name Mel R. Quintos

Reg. No. 31,898

Signature

Date: March 14, 2001